

09069969, 07 JUL 2001  
JC17 Rec'd PCT/PTO 10 JUL 2001

FORM PTO-1390		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 4495-015
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>09/869969</b>
INTERNATIONAL APPLICATION NO. PCT/JP01/03776	INTERNATIONAL FILING DATE 1 May 2001 (01.05.01)	PRIORITY DATE CLAIMED 30 November 2000 (30.11.00)	
TITLE OF INVENTION PRIZE CONTEST SYSTEM EMPLOYING AN ACCESS COUNTER			
APPLICANT(S) FOR DO/EO/US Uichiro FUJINO			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li> <li>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> A English translation of the International Application into English (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154 371 (c)(2)</li> </ol> </li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendment has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> A English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> A English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>			
<b>Items 11. to 20. below concern other document(s) or information included:</b>			
<ol style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</li> <li>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825</li> <li>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4)</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4)</li> <li>20. <input checked="" type="checkbox"/> Other items or information. <ol style="list-style-type: none"> <li>a. PCT/IB/301 - Notification of Receipt Of Record Copy</li> </ol> </li> </ol>			

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<p>21. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p><b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b></p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$ 1000.00</p> <p>International Search fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO and JPO ..... \$ 860.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$ 710.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$ 690.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) And all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$ 100.00</p> <p style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></p>	<b>CALCULATIONS</b> <b>PTO USE ONLY</b>																
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>	<b>\$ 860.00</b>																
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	<b>\$ 0.00</b>																
<table border="1" style="width: 100%; border-collapse: collapse;"><thead><tr><th style="width: 20%;">CLAIMS</th><th style="width: 20%;">NUMBER FILED</th><th style="width: 20%;">NUMBER EXTRA</th><th style="width: 20%;">RATE</th></tr></thead><tbody><tr><td>Total Claims</td><td>13 - 20 =</td><td></td><td>X \$18.00</td></tr><tr><td>Independent Claims</td><td>6 - 3 =</td><td>3</td><td>X \$80.00</td></tr><tr><td colspan="3">Multiple dependent claim(s) (if applicable)</td><td>+ \$260.00</td></tr></tbody></table>	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total Claims	13 - 20 =		X \$18.00	Independent Claims	6 - 3 =	3	X \$80.00	Multiple dependent claim(s) (if applicable)			+ \$260.00	<b>\$ 0.00</b>
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE														
Total Claims	13 - 20 =		X \$18.00														
Independent Claims	6 - 3 =	3	X \$80.00														
Multiple dependent claim(s) (if applicable)			+ \$260.00														
<b>TOTAL OF ABOVE CALCULATIONS =</b>		<b>\$ 1,100.00</b>															
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.	<b>\$ 550.00</b>																
<b>SUBTOTAL =</b>		<b>\$ 550.00</b>															
Processing fee of <b>\$130.00</b> for furnishing the English translation later than the <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).	<b>\$ 0.00</b>																
<b>TOTAL NATIONAL FEE =</b>		<b>\$ 550.00</b>															
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property	<b>\$ 0.00</b>																
<b>TOTAL FEES ENCLOSED =</b>		<b>\$ 550.00</b>															
		Amount to be: \$															
		refunded															
		charged \$															

  

a. ☐ A check in the amount of \$ XXX.XX to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. XXX in the amount of \$ XXX to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1337. A duplicate copy of this sheet is enclosed.

c. ☒ Fees are to be charged to a credit card **WARNING:** information on this form may be public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

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*Kenneth M. Berner*  
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37,093  
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